Rules for upgradation of the contractors

1. Procedure for upgradation

The contractors who desire for upgradation to higher class should submit their application with Checklist in proper form along with fresh solvency certificate and all other documents required for registration through / to Superintending Engineer (HQ) or concerned Executive Engineer as the case may be and pay fresh registration fee as per rule. The concerned Executive Engineer should submit these proposals along with C.Rs. of contractors in the proforma (Annexure 'H') to the competent authority with their recommendations.

- 2. Certificate copy of existing registration certificate should be enclosed.
- 3. List of Engineering and accounts personnel increased by contractor since his initial registration shall be furnished.
- 4. List of additional machinery increased since his initial registration be indicated.

5. Change in the proprietorship to be informed

If the name of firm of sole proprietorship is changed at the time of upgradation, it is necessary to quote the date in which it is published in Gazette and the copy of the same be furnished.

6. Financial yardsticks for upgradation

Upgradation from one class to another should be made on merits by the Competent Authority taking into account the cost of works in hand and the annual average turnover. The approximate limits for which are as follows:

GENERAL WORKS (CIVIL)

Particular of Upgradation	Minimum cost of works in	Minimum average turnover
	hand at the time of application	
From Class VII to VI	Rs. 10 lakhs	Rs. 7.5 lakhs
From Class VII to VI	Rs. 10 lakhs	Rs. 7.5 lakhs
From Class VI to V	Rs. 30 lakhs	Rs. 25 lakhs
From Class V to IV A	Rs. 60 lakhs	Rs. 40 lakhs
From Class IV A to IV	Rs. 80 lakhs	Rs. 60 lakhs
From Class IV to III	Rs. 150 lakhs	Rs. 90 lakhs
From Class III to II	Rs. 300 lakhs	Rs. 200 lakhs
From Class II to I A	Rs. 600 lakhs	Rs. 400 lakhs
From Class I A to I	Rs. 750 lakhs	Rs. 500 lakhs

ELECTRICAL / MECHANICAL WORKS

From Class E to D	Rs. 10 lakhs	Rs. 5 lakhs
From Class D to C	Rs. 25 lakhs	Rs. 10 lakhs
From Class C to B	Rs. 50 lakhs	Rs. 25 lakhs
From Class B to A	Rs. 100 lakhs	Rs. 50 lakhs

7. The application for higher category would be considered only if the contractor had carried out at least one sizeable works of the Jeevan Pradhikaran or other Semi Government bodies where the value of work is more than the maximum limit of the next lower category in which the contractor is already registered.

8. <u>Upgradation after two years</u>

The contractor's upgradation to higher category should not normally be considered within 1st two years of registration.

9. Validity period upgradation

Upgradation given will be valid for a period of three years form the date of order sanctioning the upgradation unless there are adverse circumstances.